



**DEPARTMENT OF THE TREASURY  
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)  
BREWERY'S NOTICE**

**Brewery's Section**

1. This is notice serial number 1	2. The notice date is 05/15/2016
3. Our brewery's name is GOURMET RENEE LLC	
4. Our trade name is (See Attached)	
5. Our business address is 326 ELM STREET LUDLOW KY 41016 (Number and Street) (City) (County) (State) (Zip Code)	
6. Our brewery location is 322 ELM ST , LUDLOW (KENTON), KY 41016 (Number and Street) (City) (County) (State) (Zip Code)	
7. Our main contact person is PAUL HALLINAN MILLER TTB can reach me at 5132064622 (Name) (Phone Number)	

**Brewery Description**

8. Our phone number at the brewery is 5132064622	9. Our E-mail Address is PAUL@CIRCUSMOJO.COM
10. Our brewery is a: <i>(check one only)</i> <input type="checkbox"/> Brewery/Microbrewery <input checked="" type="checkbox"/> Brewpub <i>(you must complete items 18 and 19)</i> <input type="checkbox"/> Pilot Brewing Plant	
11. We are a: <i>(check one only)</i> <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input checked="" type="checkbox"/> Limited Liability Company <input type="checkbox"/> Limited Partnership	
12. Our employer identification number is: <i>(for example: 12-3456789)</i> 45-4904900 Title to premises and equipment (list names and addresses of owner of land or buildings, or if any other mortgage or encumbrance on the land or buildings of the brewery). (See Attached)	
13. Brewer's Business Day 12:00am (List only if different than 12:00 am through 11:59 pm.)	
14. We are filing this notice to: <input checked="" type="checkbox"/> give TTB our original notice that we intend to engage in the business of producing beer. <input type="checkbox"/> amend our previously approved original notice (Registry No. _____) for the following reason(s): <input type="checkbox"/> add or delete (circle one) a trade or operating name (attach registration, if your state requires, of new trade or operating name) . List new trade names(s) in Item 4 or on a separate sheet of paper. List deleted trade name(s) on a separate sheet of paper. <input type="checkbox"/> show a change in brewery premises (attach description of change) . <input type="checkbox"/> make changes in officers, directors, members, stock, or interest distribution (attach description of change; you must file personnel questionnaires for new personnel and any new person owning 10% or more of total stock) . <input type="checkbox"/> discontinuance of business as of: (date) _____ <input type="checkbox"/> other _____	
15.A. We are <input type="checkbox"/> or are not <input checked="" type="checkbox"/> members of a controlled group of breweries. (List all breweries with shared ownership on a separate sheet of paper.) B. If you are a member, will the controlled group of breweries produce more than 60,000 but less than 2,000,000 barrels of beer per year? (If so, describe how the reduced rate of tax will be apportioned among brewery members in the controlled group.) <b>(See Attached)</b>	
16. <b>The Internal Revenue Code (IRC) provides that if you produce not more than 2,000,000 barrels per year, you are entitled to a reduced rate of tax on your first 60,000 barrels. What is your estimated production in barrels per year? <i>(check one)</i></b> <input type="checkbox"/> <b>More than 2,000,000 barrels per year.</b> We are not entitled to the reduced rate. <input type="checkbox"/> <b>More than 60,000 barrels per year, but not more than 2,000,000 barrels per year.</b> We are entitled to the reduced tax rate on our first 60,000 barrels removed for consumption or sale. <input checked="" type="checkbox"/> <b>Not more than 60,000 barrels per year.</b> We are entitled to the reduced tax rate on all beer removed for consumption or sale.	

**Signing Authority For Corporate Officials**

17. We held our board meeting with *(check one)*

Directors     Trustees     Managers     Governors    Date of Meeting \_\_\_\_\_

We authorize the following corporate officials, employees, or incumbents of the listed offices to execute all documents and to do all acts for us in dealing with the Alcohol and Tobacco Tax and Trade Bureau. (You must impress your corporate seal. If you do not have one, two witnesses must sign.)

**By the authority of our board, I certify that this authorization is true and complete.**

Title: \_\_\_\_\_ Title: \_\_\_\_\_

Title: \_\_\_\_\_ Title: \_\_\_\_\_

Title: \_\_\_\_\_ Title: \_\_\_\_\_

Witness: \_\_\_\_\_ Witness: \_\_\_\_\_

Corporate Seal:

**Brewpub Applicant Information** *(If you are a brewpub, you must complete this section.)*

18. We are a brewpub. Our entire business location is the brewery premises. We understand that by initialing these boxes, we acknowledge that:

- a. We must separate the brewery operations (non-public area) from the public area of the brewery premises by an adequate partition. Access to the brewery operations must be restricted to authorized visitors and employees only.
- b. The serving tanks as noted on our **attached diagram** are our tax-determined beer tanks. These tanks have a working capacity of approximately 30 Barrels and are accurately calibrated with appropriate measuring devices.
- c. We must transfer beer ready for consumption or sale from our fermenters into an empty tax-determination tank for measurement by the approved measuring device. We will make prompt and accurate records of these transactions to determine tax due.

19. We plan to sell retail liquors other than beer.

**Attachment Section**

20. You must make attachments to complete an original notice. You may also need additional information for an amended notice. Check all the blocks here for your attachments for this notice. For original Brewer's Notices, you must attach all documents for your type of business organization. For amended Brewer's Notices, some forms are mandatory, while others may be optional.

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Articles of Incorporation/Organization (Corporations/LLCs)               | <input checked="" type="checkbox"/> Personnel Questionnaires (for all owners/officers/directors/partners/members/stockholders of over 10%) |
| <input checked="" type="checkbox"/> Trade Name Registrations (if required by state)                          | <input checked="" type="checkbox"/> By-Laws (Corporations/LLCs)  |
| <input type="checkbox"/> Certificate to Transact Business in a Foreign State (if applicable)                 | <input checked="" type="checkbox"/> Partnership Agreement  |
| <input checked="" type="checkbox"/> Power of Attorney (TTB F 5000.8, or Corporate Resolution)                | <input checked="" type="checkbox"/> Environmental Information (TTB F 5000.29)  |
| <input checked="" type="checkbox"/> Diagram (or Plat/Plan) with dimensions of the brewery                    | <input checked="" type="checkbox"/> Diagram for Brewpub as required  |
| <input checked="" type="checkbox"/> Legal description of the Brewery   | <input checked="" type="checkbox"/> Statement Describing the Security at the Brewery   |
| <input checked="" type="checkbox"/> Supplemental Information on Water Quality Considerations (TTB F 5000.30) |  |
| <input checked="" type="checkbox"/> Other _____  |  |

All statements and documents are part of this notice. Under penalties of perjury, I declare that I have examined this notice and all attachments and to the best of my knowledge and belief they are true, correct, and complete.

21. Signature of Authorized Person PAUL HALLINAN MILLER	Date 06/10/2016
22. Printed or Typed Name and Title PAUL HALLINAN MILLER, MANAGER	

**Mail this completed package with all attachments to:**

Director  
National Revenue Center  
550 Main St, Ste 8002  
Cincinnati, OH 45202-5215

**TTB Section - For TTB Use Only**

This Notice is: <input checked="" type="checkbox"/> Approved <input type="checkbox"/> Not Approved	Effective Date: 06/10/2016	Approval Date: 06/10/2016
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Director, National Revenue Center <i>Shawn Burcham</i>	Registry Number: BR-KY-20037
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## General Instructions

**1. Where do I send my application?**

File this form with TTB at this address:

Director  
National Revenue Center  
550 Main St, Ste 8002  
Cincinnati, OH 45202-5215

**2. When may I start Brewing?** You may not operate your brewery until we approve your Brewer's Notice (including all attachments) and your Brewer's Bond.

**3. When must I file a Brewer's Notice?** You must file this form:

- To start business at your brewery premises;
- To amend or supplement information you previously submitted or;
- In connection with a new bond.

**4. Do I need to file any other documents with the Brewer's Notice?** You must file the attachments this form requires. Also, we may require you to furnish any additional information we find necessary to protect revenue and insure collection of taxes.

**5. What happens if I do not complete this notice?** We will return your notice to you for correction if it is not completed in accordance with these instructions or does not include all of the required information and documents. If you do not complete the notice you may not produce or package beer.

**6. What items do I need to complete on this notice?** You must complete all items on this notice that apply to your business, regardless of the purpose for which you are filing.

**7. How long must I keep my copy of this notice?** At your brewery you must keep available for TTB inspection during your normal business hours:

- Your most recently approved Brewer's Notice and
- All attachments and documents that provide current and complete information of this form.

## Specific Instructions

**Item 1. What serial number do I use?** Serially number each notice. Begin with No. 1 for the first notice and continue in sequence for each amendment or supplemental notice you file after you start business.

**Item 2. What is the notice date?** The date that you submit this notice for approval.

**Item 3. What is my brewery's name?**

If you are....	Then your name is....
An individual	Your name, followed by "sole owner" and the name you use to operate
A partnership	The name of each partner followed by the name you use to operate
A limited partnership (LP)	The name of your LP
A corporation or limited liability corporation (LLC)	The corporation or LLC name and, if different, the name you use to operate

**Item 4. What if I use a trade name?** You must list all trade names you use to do business or to package beer. Approval of a trade name does not necessarily constitute approval as a brand name for labeling purposes. Submit your requests for brand names to the Alcohol Labeling and Formulation Division on an Application for and Certificate/Exemption of Label/Bottle Approval, TTB F 5100.31. If your State requires you to register your trade name, you must submit a copy of the State trade name registration.

**Item 5. What is our business address?** Your business address may be different than the actual location of the brewery. Your business address is where you receive mail deliveries and you conduct office activity. You must include the county of this address.

**Item 6. What is our brewery location?** Your brewery location is where you actually brew your beer.

**Item 7. Who may be a contact person?** Your contact person must be someone who has authority to speak or write to any of our officers regarding any aspect of your brewing operations. You must file with us a signing authority or power of attorney for this person.

**Item 15. What information must I provide if I am a member of a controlled brewery group?**

1. A controlled brewery group is a group of breweries that share common ownership or controlled interest. Controlled groups of breweries include groups where:
  - (a) One brewery owns controlling interest in the other brewery or
  - (b) there is a common ownership in the controlling interest in each brewery.
2. If you are a member of a controlled group of breweries and you intend to transfer beer between breweries without payment of tax, you must:
  - (a) give the name and principal business address of each of the other breweries; and
  - (b) state whether condition 1.(a) or condition 1.(b) applies. If condition 1.(a) applies, state which brewery owns controlling interest in the other and the percentage of that interest. If condition 1.(b) applies, give the name and principal business address of the person owning controlling interest in both breweries and state the percentage of interest in each brewery.
3. If you are a member of a controlled group of breweries and the total production of all the breweries in the controlled group is less than 2,000,000 barrels of beer per year, you may be eligible to pay tax at the reduced rate. You must:
  - (a) give the name and principal business address of each of the other breweries;
  - (b) provide a list to allocate the reduced tax for 60,000 barrels between the members of the controlled group; and
  - (c) state whether condition 1.(a) or condition 1.(b) applies. If condition 1.(a) applies, state which brewery owns controlling interest in the other and the percentage of that interest. If condition 1.(b) applies, give the name and principal business address of the person owning controlling interest in both breweries and state the percentage of interest in each brewery.

**Item 17. Who may sign for my corporation?** You may indicate signature authority in two ways.

- By corporate office:** In item 17 you may list the corporate offices or employees whose incumbents may sign for your corporation. Check the box that indicates how your board authorized these officers to sign. Include the date of the meeting. List the offices by title. Attach your corporate seal. These authorities remain with the office when you change personnel in that office.
- By name:** You may authorize specific individuals to sign by executing a power of attorney (*use TTB F 5000.8*) or a signing authority for corporate officials (*use TTB F 5100.1*). You may limit these authorities to specific actions. For instance, you may grant a signing authority limited to monthly reports.

**Item 18. When must I initial the brewpub information?** If you are a brewpub, then you must initial each box and provide tank capacity to demonstrate that you understand special considerations for operating taverns on brewery premises. These operations are more commonly known as brewpubs.

**Item 20. What are the attachments for my type of business?** Follow these instructions. You must attach the organizational information and documents we require for your type of business.

If you are....	Then you must file....
Sole proprietor	<input type="radio"/> A list with the name and address of each person who holds an interest in the brewery, whether the interest appears in the name of the interested party or in the name of another for that party.
Partnership	<input type="radio"/> A copy of (1) articles of partnership of association, if any; or (2) the certificate of partnership or association if required to be filed by any State, county, or municipality. <input type="radio"/> A list with the name and address of each person who holds an interest in the brewery, whether the interest appears in the name of the interested party or in the name of another for that party.
Corporation	<input type="radio"/> A copy of the corporation charter or of the certificate of corporate existence or incorporation. <input type="radio"/> A list with the name, address, and title of each officer and director. <input type="radio"/> Copies of extracts or digests of minutes of meetings of the board of directors authorizing certain individuals to sign for the corporation. <input type="radio"/> A statement showing the number of shares of stock (or other evidence of ownership) authorized and outstanding and the voting rights of the respective owners. <input type="radio"/> A list with the names and addresses of all persons having a voting interest of 10 percent or more in the corporation whether the interest appears in the name of the interested party or in the name of another person. For each person listed, show the amount of the stockholding or other interest.

**a. May I refer to information that I filed for another operation?** If you have already filed information with us, you may incorporate that information by reference if that information is complete and accurate. You may, instead of resubmitting that information, provide a statement that:

- identifies the other premises by operating name, type (brewery, winery, etc.), and location (city and State) ; and
- specifies which organizational information and documents are being incorporated. You must attach all organizational information and documents you do not incorporate by reference.

**b. What must I keep available for TTB inspection?** You must keep available for our inspection the originals of the corporate documents we require under item 20 of this notice and certain other corporate documents (*articles of incorporation, bylaws, State certificates authorizing the brewer to operate in the State where located*). Each brewer's notice filed by multi-plant brewers must state, as part of the response to item 20, the location where we may inspect these original corporate documents.

**Item 21. Who is the "authorized person" who must sign this notice?** These are the persons authorized to sign this notice:

If you are a....	The notice must be....
Sole proprietor	<input type="radio"/> signed by the sole owner or <input type="radio"/> an empowered attorney-in-fact.
Partnership	<input type="radio"/> signed by all partners, or <input type="radio"/> a partner authorized to sign on behalf of all of the partners, or <input type="radio"/> an empowered attorney-in-fact
Corporation	<input type="radio"/> executed in the corporate name, followed by the signature and title of a person authorized to act for the corporation

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## Paperwork Reduction Act Notice

This request is in accordance with the Paperwork Reduction Act of 1995. We use this information collection to determine your identity as a brewer, the location and extent of your brewery premises, and whether your brewery operations conform with Federal laws and regulations. The information we request is required for you to obtain or retain a benefit and is mandatory by law (26 U.S.C. 5401(a)).

We estimate the average burden associated with this collection of information is 3 hours per respondent or recordkeeper, depending on your individual circumstances. Address your comments concerning the accuracy of this burden estimate and suggestions to reduce this burden to: Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, DC 20220.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a current, valid OMB control number.

## Privacy Act Information

We provide this information to comply with Section 3 of the Privacy Act of 1974 (5 U.S.C. 552a(e)(3)):

- 1. What is TTB's authority to ask for this information?** We require this information under the authority of 26 U.S.C. 5401(a). You must disclose this information to obtain authority to conduct brewing operations.
- 2. What is the purpose for this information collection?** You provide this information to give TTB notice of your intention to establish a brewery, so that we may identify you as a brewer, and to identify your brewery location and processes.
- 3. How does TTB routinely use this information?** We use this information to make determinations for the purposes described in paragraph 2. Also, we may disclose the information to other Federal, State, foreign, and local law enforcement and regulatory agency personnel to verify information on the form where such disclosure is not prohibited by law. We may disclose the information to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, we may disclose the information to members of the public in order to verify information on the form where such disclosure is not prohibited by law.
- 4. What is the effect of my not supplying the information TTB requests?** If you fail to supply complete information then we will delay processing and may disapprove or deny your application.

**ATTACHMENT TO BREWER'S NOTICE**

**Item 4:** Our Trade Name is:

Operating Name (DBA)	Bircus Brewing Co.
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**Item 12:** Title to premises and equipment (list names and addresses of owner of land or buildings, or if any other mortgage or encumbrance on the land or buildings of the brewery).

Does the applicant own the land or building comprising the brewery?

Yes  No

If yes, please provide us with the name and address of any mortgagee, or other person who has a claim on the land or buildings comprising the brewery. If there is no mortgagee, or other claim on the land or buildings, please enter "Not Applicable"

Not Applicable
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If no, please provide us with the name/address of the owner of the land or buildings comprising the brewery, and of any mortgagee, or other claim on the land or buildings comprising the brewery

High Hope and Luck Lu LLC 322 Elm Street Ludlow, KY 41016
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Does the applicant own the equipment that will be used in the operation?

Yes  No

If no, please provide name and address of the equipment owner

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**Item 15B:** If you produce more than 60,000 but less than 2,000,000 barrels you would qualify for the reduce rate on the first 60,000 barrels. Give the allotted barrels for each location at the reduced rate.

**MEMBERS OF CONTROLLED GROUP**

**Item 17:** We authorize the following corporate officials, employees, or incumbents of the listed offices to execute all documents and to do all acts for us in dealing with the Alcohol and Tobacco Tax and Trade Bureau. (You must impress your corporate seal. If you do not have one, two witnesses must sign.)

Authority Granted by	Title
Title	Manager
Source of Authority	Articles/Organizational Documents
Type	Unlimited
Effective Date	03/11/2016
Is this person authorized to prepare or review label submissions?	Yes
Is this person authorized to submit labels for approval?	Yes
Is this person authorized to prepare or review formula submissions?	Yes
Is this person authorized to submit formulas for approval?	Yes
Does this person already have a COLAs Online and/or	No

Formulas Online account with TTB?	
Phone Number	513-206-4622
Street	326 Elm Street
City	Ludlow
State	KY
Zip	41016
Email Address	Paul@circusmojo.com

**ADDITIONAL BREWERY/BREW PUB PREMISES INFORMATION**

Describe each tract of land comprising the brewery, and a listing of each brewery building by its designated letter or number, giving the approximate ground dimensions and the purpose for which ordinarily used

Per the General Warranty Deed for 322 Elm Street, Ludlow, KY 41016, the brewery premises are situated in the City of Ludlow, County of Kenton and Commonwealth of Kentucky, to-wit:  
 BEGINING at a point in the north line of Elm Street, ninety (90) feet west of the northwest corner of Elm and Butler Streets; thence extending westwardly along the north line of Elm Street sixty (60) feet and from that front, extending back between parallel lines, one hundred fifty (150) feet).

Per the General Warranty Deed for 326 Elm Street, Ludlow, KY 41016, the brewery premises are described as follows: Beginning at a point on the north side of Elm Street one hundred (100) feet east of Kenner Street; thence extending eastwardly fifty (50) feet along the north side of Elm Street; thence extending northwardly one hundred feet to the south line of Lot Thirty-One (31) of said Subdivision; thence westwardly fifty (50) feet along the south line of Lot Thirty-One (31); thence southwardly one hundred (100) feet on a line parallel with Kenner Street to the point of beginning.

Describe the layout of the brewery premises, including the location of the equipment, tanks, bottling lines, doors, windows, loading docks, empty keg storage, and packaged goods storage areas, including dimensions. This description will assist TTB in interpreting the Diagram submitted with the application

The MAIN Brewery Premises are contained wholly within a two story height brick building structure located at 322 Elm Street, Ludlow, KY 41016. The building is a rectangle with the front and rear walls being approximately 50 feet wide, and the sides being approximately 138 feet long. This building has one floor, and two raised balconies/mezzanines for extra seating. This main brewery building also contains restrooms for men and women. The property on which the building is located is also depicted on the Floor Plan/Diagram submitted.

This building contains approximately 7,020 square feet, and is divided between a brewing area (non-public area), and three separate seating areas (public area). A dispensing bar separates these two areas, with a locked gate between the dining and brewing areas. The beer cooler (cold storage and lagering) has one access door from inside the brewery, and is independently locked. Lines are run along the wall from the beer cooler to the serving taps at the bar.

There are three entrance doors and one sliding/garage door to the premises. The front entrance and main doorway is on the south facing side of the building. Another front entrance is on the west facing side wall. A rear entrance (non-public) is on the north wall, as well as an overhead garage-style door. There is one window (that cannot be opened) in the front wall of the building.

There are no outside accessory structures, tanks, or storage; however, there are two outside seating areas - a beer garden located to the rear of the brewery which consists of an area 28 feet by 55 feet; and a patio area located near the sidewalk in the front of the brewery which consists of an area 33 feet by 55 feet.

The other brewery building is located adjacent to the main brewery building at 326 Elm Street, Ludlow, KY 41016. This building will house a kitchen area, and include the office for the brewery. There are two access doors, one in front, and one in the rear. There is a window in the front of the building which cannot be opened, and windows on the second floor residence which are lockable.

The second floor contains the residence of the Office Manager. The property on which the building is located is also reflected on the Floor Plan/Diagram submitted.

The owner of the property is depicted on the Lease Agreement dated March 1, 2016. The lease specifies on Page 1 that the property owner is aware and consents to the fact that a brewery will occupy the space.

If a brewpub, you must identify the portion of the brewery which will be operated as a tavern by providing the boundaries of the tavern. You must identify areas of the brewery which are accessible to the public and areas which are not. Describe security measures to be used to segregate public areas from non-public areas. Describe in detail the method to be used for measuring beer for the purpose of tax determination. Identify the tanks which will periodically contain tax-determined beer, and any other areas where tax-determined beer will be stored

(a) and (b) The main seating area (tavern area) consists of a large central seating room on the first floor toward the front of the building by the main entrances. There are also two smaller seating areas, a balcony/mezzanine located above the beer cooler in the right rear section of the brewery, across from the brewing area, and a balcony/mezzanine for viewing down into the main floor in the front of the building. Both are accessible via a staircase. The brewing area is in the left rear corner of the building and consists of grain storage, beer cooler, brew house, fermentation area, tax determination tanks, and storage for empty kegs. We do not intend to bottle at this time, so there is no bottling or canning line. The complete boundaries are depicted on the Diagram submitted. (c) The brewing area is surrounded by a chain link fence, eight feet high, with a lockable gate. (d) Sight glasses are installed on each tank for measuring beer for tax-determination. There are three 10-barrel bright tanks which will be used for tax determination purposes. In addition, kegs will be filled, labeled Tax-Determination, and placed in the cooler for dispensing to the public.

Provide description of the brewery security. Brewery building must be arranged and constructed to give adequate protection to the revenue. Describe locks, access to the brewery and how un-taxpaid goods will be protected during and after business hours















The facility located at 322 Elm Street, Ludlow, KY 41016, has the following security measures in place.

There are three entrance doors and one sliding/garage door to the premises; an access gate in the chain link fence to the brewing area; and a sliding door to beer cooler (cold storage). All doors contain dead bolt locks and the gate for the entrance to the brewing area will contain a lock. There is one window that cannot be opened at the front of the building. Keys are held by the Managing Member and Head Brewer only.

The Brewing area will be surrounded by a chain link fence so no unauthorized personal can tamper with the product. The entrance to the brewing area will be padlocked. Keys will be held by the Managing Member and Head Brewer only.

The facility is fully alarmed with a major central monitoring alarm company (Linkology). The alarms consist of motion detectors over the front and rear doors (both egress and overhead garage doors), as well as an additional motion detector covering the restaurant space.

Security cameras are installed covering both the front restaurant space, as well as the production/brewing space. All cameras are equipped with infrared night vision technology. These cameras are tied to the secure facility WiFi network and are accessible to the owners 24/7 from their computers, tablets, and cell phones. Additionally, there is a DVR (Digital Video Recording) system and motion detection alarm notifications sent to the owner.

Regarding the other building on the premises located at 326 Elm Street, Ludlow, KY 41016, there are two access doors. Both doors contain deadbolt locks. There is a window in the front of the building which cannot be opened, and windows on the second floor residence which are lockable. Keys for this building will be in the possession of the Managing Member, Head Brewer, and the Office Manager (second floor unit resident).







